



Attorney Docket No. YO999-426

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# 417  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application

Applicant(s): C.J. Chen et al.  
Docket No.: YO999-426  
Serial No.: 09/437,971  
Filing Date: November 10, 1999  
Group: 2654  
Examiner: Patrick N. Edouard

I hereby certify that this paper is being deposited on this date with the U.S. Postal Service as first class mail addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature: William E. Lewis Date: September 30, 2003

Title: Methods and Apparatus for Semantic Unit Based Automatic Indexing and Searching in Data Archive Systems

AMENDMENT TRANSMITTAL

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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OCT 20 2003

Technology Center 2600

Sir:

Submitted herewith are the following documents relating to the above-identified patent application:

- (1) Amendment and Response to Office Action;
- (2) Change of Correspondence Address;
- (3) Transmittal of Formal Drawings; and
- (4) Formal Drawings (5 sheets).

There is an additional claim fee of \$162 due in conjunction with the Amendment. Please charge **International Business Machines Corporation Deposit Account No. 50-0510** the amount of \$162, to cover this fee. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **Deposit Account No. 50-0510** as required to correct the error. A duplicate copy of this letter is enclosed.

Respectfully submitted,

William E. Lewis

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Date: September 30, 2003